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PTO/SB/21 (08-00)

Approved for use through 10-31-2002 OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>		<b>Application Number</b>	09/737,687
		<b>Filing Date</b>	December 14, 2000
		<b>First Named Inventor</b>	Darin Arthur Allen
		Group Art Unit	1614
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission		Attorney Docket Number	218

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Request for Correction to Filing Receipt
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Vinit G. Kathardekar (Reg. No. 39,461) Axys Pharmaceuticals, Inc.
Signature	
Date	March 29, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:			March 29, 2001
Type or printed name	Barbara Bryant		Date
Signature		Date	March 29, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)

Docket No.  
218

Application Of: Darin Arthur Allen et al.

Serial No.

09/737,687

Filing Date

December 14, 2000

Examiner

Not yet assigned

Group Art Unit

1614

Invention:

**SALICYLAMIDES AS SERINE PROTEASE INHIBITORS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
February 21, 2001  
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- One verified small entity declaration(s)
- is/are attached.
- was/were filed on December 14, 2000
- A separate request for refund.
- Other (list):
  1. A properly signed **combined Declaration and Power of Attorney** document in compliance with 37 CFR 1.63 signed by the inventor **Darin Arthur Allen**
  2. A properly signed **combined Declaration and Power of Attorney** document in compliance with 37 CFR 1.63 signed by the inventors **Danny Peter Claude McGee and Jeffrey R. Spencer**
  3. Postcard

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.

**218**

In Re Application Of: **Darin Arthur Allen et al.**

Serial No.

**09/737,687**

Filing Date

**December 14, 2000**

Examiner

**Not yet assigned**

Group Art Unit

**1614**

Invention:

**SALICYLAMIDES AS SERINE PROTEASE INHIBITORS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

Completion of application fees as calculated below:

Utility application filing fee \_\_\_\_\_

Design application filing fee \_\_\_\_\_

Total number of independent claims = \_\_\_\_\_

Total number of claims = \_\_\_\_\_

Multiple dependent claims \_\_\_\_\_

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

Fee for processing an application filed with a non-English language specification \_\_\_\_\_

Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_ until: \_\_\_\_\_

*Date*

*Date*

Total time extension fees

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.

218

In Re Application Of: **Darin Arthur Allen et al.**

Serial No.

09/737,687

Filing Date

December 14, 2000

Examiner

Not yet assigned

Group Art Unit

1614

Invention:

**SALICYLAMIDES AS SERINE PROTEASE INHIBITORS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

The fee of **\$65.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0848**  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0848**  
A duplicate copy of this sheet is enclosed.



*Signature*

**Vinit G. Kathardekar**  
Reg. No. 39,461

**Correspondence address:**  
Axys Pharmaceuticals, Inc.  
180 Kimball Way  
South San Francisco, CA 94080  
Tel: 650-829-1000

cc:

Dated: *29<sup>th</sup> March '01*

I certify that this document and fee is being deposited on  
March 29, 2001 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.



*Signature of Person Mailing Correspondence*

**Barbara Bryant**

*Typed or Printed Name of Person Mailing Correspondence*



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/737,687	12/14/2000	Darin Arthur Allen	218

## CONFIRMATION NO. 1550

## FORMALITIES LETTER



\*OC000000005784376\*

Axys Pharmaceuticals, Inc.  
180 Kimball Way  
South San Francisco, CA 94080

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/04/2001 FFAAEIA 00000048 500048 09737687 FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

17cbram - Tax for response  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Darin Arthur Allen et al.

Application No.: 09/737,687

Filed: December 14, 2000

Title: SALICYLAMIDES AS SERINE  
PROTEASE INHIBITORS

Attorney Docket No.: 218

Group Art Unit:  
1614

Examiner:  
Unknown

**REQUEST FOR CORRECTION TO FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Applicants hereby request a correction in the Filing Receipt mailed February 21, 2001 for the above-referenced patent application. Applicants request the spelling of the second Applicant's name listed on the application to be corrected to read: Danny Peter Claude McGee. This was reflected in the combined Declaration and Power of Attorney filed with the above-referenced application on December 14, 2000. Enclosed is a copy of page 1 of the Filing Receipt with the changes noted thereon. Applicants believe that no fee is due for this request.

Respectfully submitted,

2/21/01  
Date

Vinit G. Kathardekar  
Vinit G. Kathardekar  
Reg. No. 39,461  
Attorney for Applicant

Correspondence Address:

Vinit G. Kathardekar  
Axys Pharmaceuticals, Inc.  
180 Kimball Way  
South San Francisco, CA 94080  
(650) 829-1000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/737,687	12/14/2000	1614	454	218		31	2

## CONFIRMATION NO. 1550

## FILING RECEIPT



\*OC000000005784375\*

Axys Pharmaceuticals, Inc.  
180 Kimball Way  
South San Francisco, CA 94080

Date Mailed: 02/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Darin Arthur Allen, Mountain View, CA;  
Danny Peter Claude McGee, Foster City, CA;  
Jeffrey R. Spencer, South San Francisco, CA;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/170,916 12/15/1999

## Foreign Applications

If Required, Foreign Filing License Granted 02/21/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Salicylamides as serine protease inhibitors